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02/28/02



J1130 U.S. PTO

**TRANSMITTAL OF  
UTILITY  
APPLICATION  
UNDER 37  
C.F.R. §1.53**

Attorney Docket No. 24601-416C

First named inventor

de Jong

Express mail label #

EL865036363US

Date of mailing

February 28, 2002

JC986 U.S. PTO

57980/01

02/28/02

**Application Elements**

1. ☒ Fee Transmittal Form
2. ☒ Specification containing -75- pages  
(including claims and Abstract)
  - a. Title: **METHODS FOR DELIVERING NUCLEIC  
ACID MOLECULES INTO CELLS AND  
ASSESSMENT THEREOF**
  - b. Number of claims: 32
3. ☐ -0- sheets of formal drawings with \_  
Figs.
4. ☐ Declaration and Power of Attorney
5. ☒ Sequence Listing with
  - ☒ Paper copy (-10- pages) (identical to  
computer copy)
  - ☒ Computer readable copy
  - ☒ Verified statement

**Accompanying Application Papers**

6. ☐ Copy of assignments from parent  
application
7. ☒ Small Entity Status is claimed
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard

**SIGNATURE OF ATTORNEY/AGENT**

HELLER EHRMAN WHITE &amp; McAULIFFE LLP

Paula K. Schoeneck

Registration Number: 39,362

☒ Benefit of priority under 35 U.S.C. §120 (continuation-in-part) claimed to U.S. Patent Application Serial no. 09/815,981, filed 03/22/01, which is incorporated by reference in its entirety.

**CORRESPONDENCE ADDRESS**

NAME

Stephanie Seidman  
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10086745, 022802

<b>FEE TRANSMITTAL ACCOMPANYING UTILITY APPLICATION UNDER 37 C.F.R. §1.53</b>	Attorney Docket No.	601-416C
	First named inventor	de Jong
	Express mail label #	EL865036363US
	Date of mailing	February 28, 2002

**FEE CALCULATION FOR CLAIMS AS AMENDED**

a)	Basic Fee		\$	740.00
b)	Independent Claims <u>3</u> - 3 = <u>0</u> x \$ 80.00		\$	0.00
c)	Total Claims <u>32</u> - 20 = <u>12</u> x \$ 18.00		\$	216.00
d)	Fee for Multiple Dependent Claims - \$260.00		\$	0.00
<b>TOTAL FILING FEE</b>			\$	<u>956.00</u>

- [X] Status as Small Entity is claimed, reducing Fee by one-half to \$478.00
- [X] Check No. 022838 in the amount of \$478.00 to cover the fee for filing the application.
- [ ] Charge \$ .00 to Deposit Account No. 50-1213.
- [X] The Commissioner is hereby authorized to charge any fees that may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1213. If proper payment is not enclosed, such as a check in the wrong amount, unsigned, post-dated, otherwise improper or informal, or absent, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213 during the entire pendency of this application. This sheet is filed in duplicate.

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Submitted by:					
Typed or printed name	Paula K. Schoeneck			Reg. Number	39,362
Signature	<i>Paula K. Schoeneck</i>	Date	02/28/02	Deposit Account	50-1213

20020220 54299907 10066745 022802

PATENT APPLICATION NO.: To Be Assigned

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: De Jong *et al.*

Serial No.: To Be Assigned

Filed: February, 28 2002

For: METHOD FOR DELIVERING NUCLEIC ACID MOLECULES INTO  
CELLS AND ASSESSMENT THEREOF

**VERIFIED STATEMENT CONCERNING SEQUENCE LISTING**

I, Rebecca D. Yeisley, declare that I personally prepared the computer-readable form of the Sequence Listing set forth in above-entitled patent application and that the content of the paper copy and the computer-readable form is the same. The computer-readable file is titled 416CSEQ.001.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated at San Diego, California this twenty-eighth of February, 2002.



Rebecca D. Yeisley

Patent Scientific Advisor to  
Stephanie Seidman  
Registration No. 33,779  
Attorney for Applicant

10066745-022802

ient / Matter	Date	Description	Amount
601-0416C	02/28/2002	04	\$478.00

Filing Fee Small Entity  
CIP Application



ENDOR CODE: 31050 COMMISSIONER FOR PATENTS  
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CHECK REQUEST: 273924  
CHECK AMOUNT: \$478.00

**Heller Ehrman**  
ATTORNEYS

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4250 Executive Square, 7th Floor  
La Jolla, CA 92037-9103

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TO THE ORDER OF  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

*Phyllis Gordon*

AUTHORIZED SIGNATURE

022838 121000358149900054720

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**10/086745**  
02/28/02

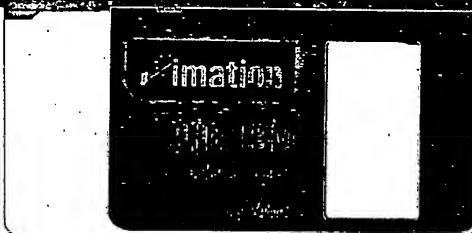
**FIRST CLASS MAIL**

Sequence Disk; Operation System: Windows NT  
Saved to disk: 02/28/02 Saved as: 416CSEQ.001  
Applicant: De Jong et al.  
USSN: To be assigned Filed: 02/28/02  
Title: METHOD FOR DELIVERING NUCLEIC ACID  
MOLECULES INTO CELLS AND ASSESSMENT  
THEREOF

Attorney of Record: Stephanie Seidman, Reg. No. 33,779  
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ATTORNEY DOCKET NO: 24601-416C

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Applicant: De Jong et al.  
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INTO CELLS AND ASSESSMENT THEREOF  
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